Monkey See – Monkey Don’t – Question Set

1. What are the areas of ethical behavior/compliance you would investigate?

2. What is your investigation plan?
   a. What policies, law or statutes are involved?
   b. Who do you interview?
   c. What documentation do you review?
3. Would you involve subject matter experts to assist you in the investigation?
   a. If yes, who would you involve?

4. Which internal functions or groups would you keep apprised of your investigation?

5. Communications plays a major role in this scenario, what is your involvement?
   a. External communications
   b. Internal communications
6. Does mandatory disclosure play a part in this scenario?
   a. If yes, what is your plan of action?

7. Is whistleblower protection relevant in this scenario?
   a. If yes, how does the company respond?

8. Based upon the facts presented, what conclusions did you reach in your investigation?

9. What are the corrective actions Widget Global should implement?